

418 Rec'd PCT/PTO 11 MAR 1999

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. 8009-7004-US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		DATE: March 11, 1999 09/254623 U.S. APPL. NO. (IF KNOWN, SEE 37 CFR 1.5) [UNKNOWN-FILED HEREWITH]
INTERNATIONAL APPLICATION NO. PCT/IB97/01091	INTERNATIONAL FILING DATE September 10, 1997	PRIORITY DATE CLAIMED September 11, 1996
TITLE OF INVENTION: THERAPEUTIC FORMULATIONS CONTAINING VENOM OR VENOM ANTI-SERUM EITHER ALONE OR IN COMBINATION FOR THE THERAPEUTIC PROPHYLAXIS AND THERAPY OF NEOPLASMS		
APPLICANT(S) FOR DO/EO/US: <u>Elizabeth SHANAHAN-PRENDERGAST</u>		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED)</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (with annexes).</p> <p>11. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 12. to 17. below concern other document(s) or information included:</p> <p>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input checked="" type="checkbox"/> A substitute specification—the attached substitute specification was filed in response to an Invitation to Correct Defects in the International Application (PCT Articles 3(4)(i) and Rule 26) issued by the International Bureau PCT Receiving Office Section.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input checked="" type="checkbox"/> Other items or information: CHECK NO. 139</p>		

U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.50) --		INTERNATIONAL APPLICATION NO. -- PCT/1897/01091		ATTORNEY DOCKET NO. 8009-7004-US
				DATE: March 11, 1999
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO.....\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)....\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but International search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) or international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$ 96.00				CALCULATIONS 1 PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$970.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).				\$--
Claims	Number Filed	Number Extra	Rate	
Total Claims	102 - 20 =	82	X \$ 18.00	\$1476.00
Independent Claims	8 - 3 =	5	X \$ 78.00	\$390.00
Multiple dependent claim(s) (if applicable)				+ \$260.00 \$--
TOTAL OF ABOVE CALCULATIONS =				\$2836.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$--
SUBTOTAL =				\$2836.00
Processing fee of \$130.00 for furnishing the English translation later the <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).				\$--
TOTAL NATIONAL FEE =				\$2836.00
Fee for recording assignment (if enclosed)(37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$--
TOTAL FEES ENCLOSED =				\$2836
				Amount to be refunded \$
				Charged \$
a. <input checked="" type="checkbox"/> A check (no. 139) in the amount of \$2836.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0788</u> .				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
PLEASE SEND ALL CORRESPONDENCE TO:				
Brown & Associates <u>115 South Royal Street, Suite 148</u> <u>Alexandria, VA 22314-3327</u> Phone: (703) 768-1293 Facsimile: (703) 768-8799				
 (1) Kevin C. Brown Reg. No. <u>32,402</u>				